AGENDA

REGULAR MEETING OF THE AUDIT OVERSIGHT COMMITTEE ORANGE COUNTY, CALIFORNIA

WEDNESDAY, February 27, 2013, 1:00 p.m.

HALL OF ADMINISTRATION 333 Santa Ana Blvd., 5th Floor Conference Room A Santa Ana, California 92701

Supervisor Shawn Nelson Chairman

Supervisor Pat Bates Member

Robert J. Franz Interim County Executive Officer Member

Steve Danley Interim Performance Audit Director Ex-Officio Member (non-voting)

Bruce Hughes Public Member

Director of Internal Audit: Deputy County Counsel: Clerk: **Dr. David Carlson**, Public Member Vice-Chairman

Jan Grimes Chief Deputy Auditor-Controller Member

Shari L. Freidenrich Treasurer-Tax Collector Member

Sarah J. "Sally" Anderson Public Member

Mark Wille Public Member

Dr. Peter Hughes, CPA Ann Fletcher Renee Aragon

The Audit Oversight Committee (AOC) welcomes you to this meeting. This agenda contains a brief general description of each item to be considered. The AOC encourages your participation. If you wish to speak on an item contained in the agenda, please complete a Speaker Form identifying the item(s) and deposit it in the Speaker Form Return box located next to the Clerk. If you wish to speak on a matter which does not appear on the agenda, you may do so during the Public Comment period at the beginning of the meeting. Except as otherwise provided by law, no action shall be taken on any item not appearing in the agenda. When addressing the AOC, please state your name for the record prior to providing your comments.

**In compliance with the Americans with Disabilities Act, those requiring accommodation for this meeting should notify the Internal Audit Department 72 hours prior to the meeting at (714) 834-5475 **

All supporting documentation is available for public review in the office of the OC Internal Audit Department located in the Hall of Finance and Records Building, 12 Civic Center Plaza, Room 232, Santa Ana, California 92701 during regular business hours, 8:00 a.m. - 5:00 p.m., Monday through Friday.

<u>1:00 P.M.</u>

1. Roll Call

<u>Speaker</u>

AOC Chairman



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		<u>Speaker</u>
2.	Approve Audit Oversight Committee regular meeting minutes of November 7, 2012.	AOC Chairman
3.	Approve Audit Oversight Committee special meeting minutes of December 20, 2012.	AOC Chairman
4.	Receive Required Communication from External Auditors Vavrinek, Trine, Day & Company, LLP.	Vavrinek, Trine, Day
5.	Discuss and approve Director of Internal Audit request to contract with an external firm to conduct a Peer Review of Internal Audit Department for the three Fiscal Years ending 06/30/13.	Dr. Peter Hughes
6.	Receive the AOC Vendor Evaluation Subcommittee review results of the independent auditing firms for All Funds Audit solicitation and select primary and alternate to be presented to Board of Supervisors for approval to negotiate a contract. Provide direction to staff as needed.	Member Jan Grimes, Chief Deputy Auditor- Controller
7.	Approve OC Internal Audit Department FY12-13 2nd Quarter Status Report for the period July 1, 2012 through December 31, 2012 and approve 2nd Quarter Executive Summary of Audit Finding Summaries for the period October 1, 2012 through December 31, 2012.	Dr. Peter Hughes
8.	Approve 1st Quarter FY 12/13 External Audit Activity Quarterly Status Report for the quarter ended December 31, 2012.	Dr. Peter Hughes
9.	Receive and file Summary Highlights of Audit Reports Issued by Month for July 1, 2012 to January 31, 2013.	Dr. Peter Hughes
10.	Nominate and appoint Chairman and Vice-Chairman for 2013 Audit Oversight Committee	AOC Chairman
АОС АОС	lic Comments – At this time members of the public may address the C on any matter not on the agenda but within the jurisdiction of the C. The AOC may limit the length of time each individual may have to ress the Committee.	AOC Chairman
<u>A00</u>	COMMENTS & ADJOURNMENT	AOC Chairman
	AOC COMMENTS:	
	DIRECTOR OF INTERNAL AUDIT COMMENTS:	
	ADJOURNMENT:	

NEXT MEETING: Regular Meeting, to be scheduled.