

A G E N D A

REGULAR MEETING OF THE AUDIT OVERSIGHT COMMITTEE ORANGE COUNTY, CALIFORNIA



THURSDAY, August 9, 2012, 2:30 p.m.

HALL OF ADMINISTRATION
333 Santa Ana Blvd., 5th Floor
Conference Room A
Santa Ana, California 92701

Supervisor Shawn Nelson
Chairman

Dr. David Carlson, Public Member
Vice-Chairman

Supervisor John M.W. Moorlach
Member

Jan Grimes
Chief Deputy Auditor-Controller
Member

Robert J. Franz
Acting County Executive Officer
Member

Shari L. Freidenrich
Treasurer-Tax Collector
Ex-Officio Member (non-voting)

Steve Danley
Interim Performance Audit Director
Ex-Officio Member (non-voting)

Director of Internal Audit
County Counsel
Clerk

Dr. Peter Hughes, CPA
Ann Fletcher
Renee Aragon

The Audit Oversight Committee (AOC) welcomes you to this meeting. This agenda contains a brief general description of each item to be considered. The AOC encourages your participation. If you wish to speak on an item contained in the agenda, please complete a Speaker Form identifying the item(s) and deposit it in the Speaker Form Return box located next to the Clerk. If you wish to speak on a matter which does not appear on the agenda, you may do so during the Public Comment period at the beginning of the meeting. Except as otherwise provided by law, no action shall be taken on any item not appearing in the agenda. When addressing the AOC, please state your name for the record prior to providing your comments.

****In compliance with the Americans with Disabilities Act, those requiring accommodation for this meeting should notify the Internal Audit Department 72 hours prior to the meeting at (714) 834-5475****

All supporting documentation is available for public review in the office of the OC Internal Audit Department located in the Hall of Finance and Records Building, 12 Civic Center Plaza, Room 232, Santa Ana, California 92701 during regular business hours, 8:00 a.m. - 5:00 p.m., Monday through Friday.

2:30 P.M.

Speaker

1. Roll Call AOC Chairman
2. Approve Audit Oversight Committee regular meeting minutes of May 2, 2012 AOC Chairman

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- | | <u>Speaker</u> |
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| 3. Approve revised Audit Oversight Committee 2011 Annual Report to be presented to Board of Supervisors in compliance with AOC Charter (Section 7.1) (Continued from May 2, 2012, Item 8) | <i>Dr. Peter Hughes</i> |
| 4. Approve proposed changes to AOC Charter from the AOC Subcommittee established to evaluate language and compliance issues of AOC Charter (AOC Meeting, March 15, 2012, Item 4; May 2, 2012, Item 9) | <i>Subcommittee Members
Dr. David Carlson
Steve Danley</i> |
| 5. Approve the use of the California Counties Audit Chiefs' Committee to conduct the 2013 External Quality Assessment/Peer Review of the Internal Audit Department | <i>Dr. Peter Hughes</i> |
| 6. Discuss the Countywide OC Fraud Hotline Survey and direct staff | <i>Dr. Peter Hughes</i> |
| 7. Approve OC Internal Audit Department 4th Quarter Status Report for FYE 11/12 for the period July 1, 2011 through June 30, 2012 and approve 4th Quarter Executive Summary of Audit Finding Summaries for the period July 1, 2011 through June 30, 2012 | <i>Dr. Peter Hughes</i> |
| 8. Approve External Audit Coverage 4th Quarter Status Report FY 11/12 for the period July 1, 2011 through June 30, 2012 | <i>Dr. Peter Hughes</i> |
| 9. Receive and file Summary Highlights of Audit Reports Issued by Month for FYE July 1, 2011 to June 30, 2012 and July 1, 2012 to July 31, 2012 | <i>Dr. Peter Hughes</i> |

Public Comments – *At this time members of the public may address the AOC on any matter not on the agenda but within the jurisdiction of the AOC. The AOC may limit the length of time each individual may have to address the Committee.*

AOC Chairman

AOC COMMENTS & ADJOURNMENT

AOC Chairman

AOC COMMENTS:

ADJOURNMENT:

NEXT MEETING: Regular Meeting, to be scheduled in November 2012